The United Mexican States

Connecting Watershed Health with Sustainable Livestock and Agroforestry Production (CONECTA)

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

Draft

September 2020

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ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The United Mexican States will implement the "CONNECTING WATERSHED HEALTH WITH SUSTAINABLE LIVESTOCK AND AGROFORESTRY PRODUCTION" project (**the Project)**. The International Bank for Reconstruction and Development (hereinafter the Bank) acting as the Implementing Agency for the grant of the Global Environment Facility (GEF) will finance the Project.

2. The Project will be implemented by the Mexican Fund for the Conservation of Nature (FMCN) in close collaboration and under the technical lead of the National Institute of Ecology and Climate Change (INECC). The FMCN will delegate part of the implementation tasks to three Regional Funds, that will in turn, facilitate coordination and project implementation with local actors. The Project's Technical Coordinating Unit (TCU) will be established at INECC and the Project's Operational Coordinating Unit (OCU) at FMCN. The implementation will also involve Local Providers of Technical Assistance (PLATs) under Component 2 and Civil Society Organizations (CSOs) under Component 3.

3. The United Mexican States, through FMCN and the three Regional Funds, will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, specific documents or plans, as well as the timing for each of these.

4. The United Mexican States, through FMCN, will also comply with the provisions of the environmental and social (E&S) guidelines under the ESSs and referred to in this ESCP, including, inter alia: the Environmental and Social Management Framework (ESMF), Environmental and Social Management Plans (ESMPs), Labor Management Procedures (LMP), Stakeholder Engagement Plan (SEP), Grievance Redress Mechanism (GRM), Indigenous Peoples Planning Framework (IPPF), and Process Framework for Involuntary Restrictions to Access to Natural Protected Areas (PF).

5. The United Mexican States is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by FMCN and the Regional Funds.

6. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the United Mexican States through FMCN as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.

7. As agreed by the Bank and the United Mexican States, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the United Mexican States, through the FMCN, will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the FMCN. The FMCN will promptly disclose the updated ESCP.

8. Where there are Project changes, unforeseen circumstances, or where Project performance results in changes to the risks and impacts during Project implementation, the United Mexican States shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts and gender-based violence (GBV).

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	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	**REGULAR REPORTING** Prepare and submit to the Bank, as part of the six-monthly progress reports on the Project implementation, regular monitoring reports on the Environmental and Social Commitment Plan (ESCP) performance of the Project, including but not limited to occurrence of incidents and accidents, stakeholder engagement activities, and functioning of the Grievance Redress Mechanism (GRM).	Six-monthly reporting throughout Project implementation. Each report will be submitted no later than February 15 and August 15 of each year.	The Operational Coordinating Unit (OCU) within the FMCN
B	**INCIDENTS AND ACCIDENTS** Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, community grievance or any incidence related to gender-based violence or conflict. FMCN will: • Provide enough detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. • Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence. A Borrower's Guide to Response to Environmental, Social and Occupational Health and Safety Incidents for World Bank Financed Projects that further details how to address any incidents or accidents is included as part of the Operational Manual (OM).	Inform within 48 hours after learning of any incident or accident. Timing of the subsequent report will be provided within a timeframe acceptable to the Bank, as requested.	OCU/FMCN and Regional Funds
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	**ORGANIZATIONAL STRUCTURE** FMCN will establish and maintain an Operational Coordinating Unit (OCU) with qualified staff and resources to support management of E&S risks of the Project. Such staff will include one (1) Environmental and Social Standards Specialist, who will support and supervise the implementation of the E&S management.	The Environmental and Social Standards Specialist will be hired or appointed no later than 30 days after the Project Effective Date and throughout Project implementation.	FMCN

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	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
1.2	**MANAGEMENT TOOLS AND INSTRUMENTS** a) A draft Environmental and Social Management Framework (ESMF) has been prepared, disclosed and consulted, and the final ESMF shall be disclosed by Project appraisal. The ESMF will be updated and re-disclosed as necessary throughout Project implementation. b) An emergency and contingency procedure will be developed as described in Appendix F of the ESMF and adapted in accordance with the risks present in each Project area to be shared with the consulting companies, Civil Society Organizations (CSOs) and beneficiaries with whom they collaborate as an input to the preparation of the subprojects. c) Proposed subprojects under Component 3 and other Project activities will follow the ESMF guidelines prepared for the Project. ESMPs and any other guidelines related to environmental and social risk management will be integrated in the approved subproject proposals as pertinent in each case. A sample of different types of subproject proposals that the FMCN considers ready for approval will be subject to No-Objection by the Bank.	a) & b) The Project is expected to start implementation in May 2021, and thereafter the ESMF and its instruments referred to in the present ESCP will guide the Project activities throughout implementation. c) Screening of subprojects and other activities against the criteria in the ESMF shall be conducted at the time of assessing subproject eligibility.The pertinent contents of the ESMPs will be part of the approved subprojects, and a representative sample, as determined by the Bank, shall be submitted for the Bank's review and approval, and thereafter disclosed prior to launching subproject implementation. The subproject proposals including the ESMP content will be implemented throughout the execution of the subproject activities.	OCU/FMCN and Regional Funds

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1.3	**EXCLUSIONS** Exclude the following type of activities as ineligible for financing under the Project: • Activities that promote changes in land use from forest to agriculture. • The deforestation, degradation, or any other alteration of natural habitats, including their conversion for agricultural uses or tree plantations. • Activities within protected natural areas unless they are consistent with the relevant management plan. • Activities that may harm indigenous peoples or local communities, including their access to natural resources and customary land, or that have not received the support of the communities living in the areas where the activities will take place. • Activities that may generate involuntary resettlement, including of indigenous peoples or affecting their cultural heritage. • Activities carried out on land in litigation, dispute, or within ejidal and/or community lands that do not have a written support of the assembly. • The purchase of hazardous agro-chemicals. • Infrastructure works that can promote deforestation, degradation, or any other alteration of natural habitats. • Activities that support campaigns or elections. • The development of crops associated with the production of alcoholic beverages or drugs. • The use of genetically modified organisms (GMOs) or generated by cloning (forest species). • Activities that may violate human rights. • All the other excluded activities set out in the ESMF of the Project.	Subprojects and other activities will be screened against the exclusions at the time of determining eligibility of the subprojects or other activities.	OCU/FMCN and Regional Funds

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	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
1.4	**MANAGEMENT OF CONTRACTED PARTIES** Ensure that the relevant aspects of the ESCP, including the ESMF, ESMP, Labor Management Procedures, Codes of Conduct for the prevention of sexual exploitation and abuse (SEA) and any type of harassment, as well as other relevant requirements, are incorporated into bidding documents and contracts with selected Local Providers of Technical Assistance (PLATs) under Component 2 and Civil Society Organizations (CSOs) under Component 3.	Relevant aspects incorporated into the bidding documents and contracts. Once approved, ensure that the contractual provisions are implemented throughout Project implementation.	OCU/FMCN and Regional Funds
1.5	**TECHNICAL ASSISTANCE** Ensure that the consultancies, studies, capacity building, training and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Bank and duly incorporate and take into consideration the requirements of the ESSs.	Starting in May 2021 along the expected Project launch and throughout Project implementation.	
	ESS 2: LABOR AND WORKING CONDITIONS		
2.1	**LABOR MANAGEMENT PROCEDURES** Labor Management Procedures (LMP) and Worker Grievance Redress Mechanisms will guide the implementation of Project-related labor contracts. LMP has been prepared and consulted and will be disclosed by Project appraisal. FMCN will ensure that relevant bidding documents and Project-financed contracts with PLATs, CSOs, consultancy firms, service providers and communities that conduct community work will include a clause to ensure that working conditions are in line with the ESS2 requirements, including Codes of Conduct to prevent SEA and any type of harassment.	The LMP will be implemented starting in April 2021 at the latest, when the Project is expected to establish the Technical and the Operational Coordination Unit and throughout Project implementation and will be subject to review in case necessary if any circumstance arises.	OCU/FMCN and Regional Funds
2.2	**GRIEVANCE MECHANISM FOR PROJECT WORKERS** Maintain and operate a GRM for Project workers, as described in the LMP and consistent with ESS2.	The GRM will be operational starting in April 2021 and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds

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2.3	**OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES** The ESMP content in the subprojects will include OHS measures as required under the ESMF and LMP, including the guidelines for the Integrated Pest and Vector Management Plans when relevant.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	**WASTE MANAGEMENT PLANS** Follow the Guide for Management of Waste and Hazardous Substances in the ESMF, and incorporate these guidelines for different types of waste, solid and liquid, including disposal of hazardous materials such as agrochemical or pesticide containers and veterinary medicine or medical equipment as applicable for each subproject.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds
3.2	**RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT** Follow the resource efficiency and pollution prevention and management measures included in the ESMF and incorporate them to each subproject as applicable, including guidelines for efficient use of water and Integrated Pest and Vector Management Plans.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	**COMMUNITY HEALTH AND SAFETY** Implement the community health and safety measures set out in the ESMF as part of the subproject activities, including, inter alia, measures to: minimize the potential for community exposure to communicable diseases paying attention to securing that no health and safety risks and impacts are created or affect disadvantaged or vulnerable populations.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds

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4.2	**GENDER BASED VIOLENCE AND SEXUAL EXPLOITATION AND ABUSE RISKS** Include Codes of Conduct, acceptable to the Bank, in the contracts signed with all personal contracted for the Project, the PLATs, CSOs, consultancy firms, service providers and communities that conduct community work. Provide service delivery path referral to provision of services related to cases of GBV and SEA to organizations engaged in Project implementation as requested in the ESMF and Gender Action Plan and detailed in the OM.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	**PROCESS FRAMEWORK FOR INVOLUNTARY RESTRICTIONS TO ACCESS TO NATURAL PROTECTED AREAS (PF)** Implement the PF as developed for the Project and implement related mitigation measures as a part of the subproject proposal when necessary. The PF has been prepared and consulted and will be disclosed by appraisal.	Before initiating any activity in a Natural Protected Areas and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	**BIODIVERSITY MANAGEMENT AND ANIMAL HEALTH GUIDE** Support and supervise adequate implementation of the guidelines for Biodiversity Management and Animal Health included in the ESMF and incorporate these guidelines in the subprojects and relevant procurement documents as applicable in case of each selected producer group.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	**INDIGENOUS PEOPLES' PLANING FRAMEWORK (IPPF)** Follow the Indigenous Peoples PlanningFramework guidelines consistent with the requirements of ESS 7, in a manner acceptable to the Bank when necessary.The IPPF has been prepared, disclosed and consulted and the final version will be disclosed by appraisal.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds

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7.2	**INDIGENOUS PEOPLES' PLAN (IPP)** a) Support preparation of submissions in compliance with the IPPF to the call for proposals under Component 2 and 3 when the proposals involve indigenous beneficiaries. In such cases, the proposal shall service the function of an IPP, as per the guidance and requirements provided in the IPPF prepared for the Project and ESS7, in a manner acceptable to the Bank. Prior to the launch of the two calls for proposals, the Bank will receive and review a summary of the strategies and mechanisms to be used in disseminating the related information and the actual calls for proposals in a culturally appropriate manner in areas where indigenous peoples are present. FMCN will solicit No-Objection from the Bank to the proposals that include IPP as part of them and are ready for approval under Components 2 and 3. Support the adoption and implementation of each selected proposal that serves as an IPP for services under Component 2 and subprojects under Component 3.	Starting in May 2021 along the expected Project launch and throughout Project implementation. Starting in January 2022 and throughout Project implementation.	OCU/FMCN and Regional Funds
ESS 8: CULTURAL HERITAGE			
8.1	**PROCEDURES FOR THE PROTECTION OF CULTURAL HERITAGE** Support adequate implementation of the Procedures for the Protection of Cultural Heritage of the ESMF by the Regional Funds, PLATs and CSOs, including the chance finds procedure defined for the Project and ensure these are incorporated in the subprojects, as appropriate.	As the subprojects initiate preparation and thereafter throughout Project implementation.	OCU/FMCN and Regional Funds
ESS 9: FINANCIAL INTERMEDIARIES			
Not relevant for the Project.			

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	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	**STAKEHOLDER ENGAGEMENT PLAN (SEP) PREPARATION AND IMPLEMENTATION** Implement the SEP that has been prepared and consulted for the Project, including, inter alia: - Disclose information on Project actions and progress to affected and interested parties and establish mechanisms to ensure effective communication with them. - Conduct an independent evaluation among stakeholders at midterm to assess Project and particularly SEP implementation. - Develop workshops and dissemination material to inform stakeholders on the Project and its results in culturally appropriated ways. - Develop an independent midterm evaluation that includes a satisfaction assessment among stakeholders and to assess the implementation of the SEP and adjust it as needed to secure its appropriate implementation until the Project closure.	The SEP will be disclosed before appraisal and updated subsequently as many times as necessary throughout the Project implementation, subject to the Bank's prior approval. The SEP will be implemented throughout Project implementation. The midterm evaluation will take place at 2-2.5 years of the Project start.	OCU/FMCN and Regional Funds
10.2	**PROJECT GRIEVANCE REDRESS MECHANISM (GRM)** Operate an effective GRM that will be used throughout Project implementation to address any complaints and communications related to the Project. The GRM is described in the ESMF, SEP, and OM.	The GRM will be established by April 2021 and will be operated throughout Project implementation.	FMCN and Regional Funds
CAPACITY SUPPORT (TRAINING)			

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CS1	Provide sufficient training to Project-contracted personnel, the Regional Funds, selected PLATs and CSOs and beneficiaries on how to comply with the ESSs before the start of specific Project activities that require environmental or social risk management, as presented below. **OCU and TCU staff and relevant FMCN, INECC, Regional Funds' personnel and Project-contracted consultants, as needed, will follow an induction process on topics such as:** • Legal Framework, ESSs and mitigation measures established in the ESMF and other documents (e.g. LMP, IPPF and PF) • Stakeholder engagement and communication • Gender and intercultural approach • GBV and SEA/H issues, prevention, monitoring and addressing • Child labor screening, monitoring and elimination • Inclusion of people with disabilities • Grievance receipt and handling • One Health approach, including healthy food systems **Project technical workers will complete an induction workshop on topics such as:** • Occupational health and safety, including emergency prevention and preparedness, response arrangements to emergency situations. • Integrated pest and vector management and safe use of pesticides • Good Agricultural Practices • Gender and intercultural approach • GBV issues, monitoring and prevention • Child labor screening, monitoring and elimination • Stakeholder engagement and communication • Grievance receipt and handling • One Health approach, including healthy food systems Beneficiary Producer Groups will be oriented on topics such as: • Community health and safety use of agricultural machinery. • Integrated pest and vector management and safe use of pesticides • Good agricultural practices • Biodiversity and sustainable use of natural resources • Gender and intercultural approach	Training to Project staff will be provided starting from the establishment of the OCU latest in May 2021, and thereafter throughout Project implementation, as needed. Training to Project workers and beneficiaries will be provided staring in May 2021 once contracts are signed and before execution of relevant activities.	OCU/FMCN / Regional Funds

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MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
• GBV and SEA/H issues, prevention and addressing • Child labor elimination • Inclusion of people with disabilities • Available grievance mechanisms • One Health approach, including healthy food systems		

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